Windows Driver Customization for USB UARTs
Application Note AN-226
# Revision History

<table>
<thead>
<tr>
<th>Document No.</th>
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</thead>
<tbody>
<tr>
<td>226ANR00</td>
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</tr>
</tbody>
</table>
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Introduction

Overview

This document describes how to use and customize features for the XR21B and XR21V Series USB UARTs. The detailed description helps to easily achieve the customized features. At the time of this writing, the customization features allow for setting fixed COM port numbers. Other customization features may be added at future times. When a specific customization is needed, for example "Fix COM Port Number by Device ID" or "Fix COM Port Number by Location", this Application Note illustrates and gives guidance on how to realize the desired customization on a Windows 7 platform.

Reference Documentation

This Application Note applies to the devices listed in Table 1. Links to the Data Sheets are provided in the Product column.

Table 1: Devices Supported

<table>
<thead>
<tr>
<th>Product</th>
<th>PID</th>
</tr>
</thead>
<tbody>
<tr>
<td>XR21V1410</td>
<td>0X1410</td>
</tr>
<tr>
<td>XR21V1412</td>
<td>0X1412</td>
</tr>
<tr>
<td>XR21V1414</td>
<td>0X1414</td>
</tr>
<tr>
<td>XR21B1411</td>
<td>0X1411</td>
</tr>
<tr>
<td>XR21B1420</td>
<td>0X1420</td>
</tr>
<tr>
<td>XR21B1422</td>
<td>0X1422</td>
</tr>
<tr>
<td>XR21B1424</td>
<td>0X1424</td>
</tr>
<tr>
<td>XR22801</td>
<td>0x1400</td>
</tr>
<tr>
<td>XR22802</td>
<td>0x1400, 0X1401</td>
</tr>
<tr>
<td>XR22804</td>
<td>0x1400, 0X1401, 0X1402, 0x1403</td>
</tr>
</tbody>
</table>

1. Since Microsoft is eventually going to move to a DCHU driver model for Windows 10, this Application Note is focused on a customized driver in Windows 7, but it is also applicable for Windows 10 with a non-DCHU driver.
Customized Registry

Overview

This section provides a detailed description for all of the supported customization features and each of their related values.

The basic registry structure for the MaxLinear customization consists of a "CustomConfig" key which contains all customization feature flag values, a subkey for each customization feature and all the specific customization feature’s related values that need to be set.

2. If one is not familiar with the Windows Registry, please refer to Appendix “Appendix A: Windows Registry” for Basic Windows registry instructions.

Custom Config

This contains the summary and the switch of all customized features.

Key Location: "HKEY_LOCAL_MACHINE\SYSTEM\Software\MaxLinear\USB2UART\CustomConfig"

Table 2 lists all Custom Config related values.

Table 2: Custom Configs

<table>
<thead>
<tr>
<th>Value Name</th>
<th>Type</th>
<th>Data Range</th>
<th>Description</th>
</tr>
</thead>
</table>
| FixCOMPortByDevID  | DWORD   | 0 - 1      | 0 disables the feature.  
1 enables the feature if FixCOMPortByLocation is disabled.  
If FixCOMPortByLocation is also enabled, both features will be disabled. |
| FixCOMPortByLocation | DWORD | 0 - 1      | 0 disables the feature.  
1 enables the feature if FixCOMPortByDevID is disabled.  
If FixCOMPortByDevID is also enabled, both features will be disabled. |
Fix COM Port By Dev ID

This feature is used to Fix COM Port Number(s) for specific device(s) by the device ID. Currently up to 8 different devices (see footnote 3) can be supported simultaneously. This feature is disabled if Fix COM Port By Location is also set.

Key Location:
"HKEY_LOCAL_MACHINE\SYSTEM\Software\MaxLinear\USB2UART\CustomConfig\FixCOMPortByDevID"

Table 3 lists all Fix COM Port By Dev ID related values.

<table>
<thead>
<tr>
<th>Value Name</th>
<th>Type</th>
<th>Data Range</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>TotalDeviceUsed</td>
<td>DWORD</td>
<td>1 - 8</td>
<td>Defines how many devices are applied to the feature. Currently up to 8 devices are supported.</td>
</tr>
<tr>
<td>FixCOMDeviceX</td>
<td>DWORD</td>
<td>Refer to PID in Table 1</td>
<td>Defines the PID of the device to be used for this feature. The X should be a suffix starting from 1, up to the number defined in TotalDeviceUsed. For this feature, the FixCOM DeviceX setting should not be duplicated.</td>
</tr>
<tr>
<td>FixCOMNumX</td>
<td>DWORD</td>
<td>1 - 255</td>
<td>Defines the starting port number to be assigned to the FixCOM DeviceX device with the same X value. The X should be a suffix starting from 1, up to the number defined in TotalDeviceUsed. Please note the maximum number also has to be set in the data range.</td>
</tr>
</tbody>
</table>

3. Device number is tallied by PID. Each different PID is viewed as a device. For example, the 2 channel XR22802 has a PID for each separate channel, thus it is viewed as 2 devices when doing customization.

4. This feature is disabled if multiple devices with the same PID are used. In such a case, the Fix COM Port By Location should be used instead.
Fix COM Port By Location

This feature is used to Fix COM Port Number(s) for specific device(s) based on the actual hub location connected. Currently up to 8 different devices can be supported simultaneously. However, there is no limitation on the number of devices connected as long as there is no COM port number collisions. This feature is disabled if Fix COM Port By Dev ID is also set.

For serialized devices (XR21B and XR2280x Series) to be identified by location, an additional usbflags registry has to be set. This allows the USB driver stack to ignore the serial number of the device. However, the usbflags registry only works for the XR21B devices. (see footnote 5).

The following states how to set the usbflags registry if needed (see footnote 6): **please note that a system reboot is required after the modification is done in order to have the modification take effect.**

Key Location: "HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\usbflags"

In order to enable this feature, all related usbflags values of the target device should be enabled.

Table 4 lists all related values under usbflags.

<table>
<thead>
<tr>
<th>Value Name</th>
<th>Type</th>
<th>Data Range</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>IgnoreHWSerNumvvvppp</td>
<td>Binary</td>
<td>0x0000, 0x0001</td>
<td>Indicates whether the USB driver stack must ignore the serial number of the device. 0x0000 indicates this setting is disabled; 0x0001 indicates it is enabled. <strong>vvvv</strong> is a 4-digit hexadecimal number of the vendor ID. In this case, it should be 0x04E2. <strong>pppp</strong> is a 4-digit hexadecimal number of the product ID. All supported PIDs can be found in Table 1.</td>
</tr>
<tr>
<td>IgnoreHWSerNumvvvpppcc</td>
<td>Binary</td>
<td>0x0000, 0x0001</td>
<td>Indicates whether the USB driver stack must ignore the serial number of this device interface. 0x0000 indicates this setting is disabled; 0x0001 indicates it is enabled. <strong>vvvv</strong> is a 4-digit hexadecimal number of the vendor ID. In this case, it should be 0x04E2. <strong>pppp</strong> is a 4-digit hexadecimal number of the product ID. All supported PIDs can be found in Table 1. <strong>cc</strong> is the interface number. It should be either 00, 02, 04 or 06.</td>
</tr>
</tbody>
</table>

After usbflags are set, if required for the target device, the related configuration settings for Fix COM Port By Location can be set.

Key Location:
"HKEY_LOCAL_MACHINE\SYSTEM\Software\MaxLinear\USB2UART\CustomConfig\FixCOMPortByLocation"

Table 5 lists all related values under Fix COM Port By Location.
### Table 5: Fix COM Port By Location

<table>
<thead>
<tr>
<th>Value Name</th>
<th>Type</th>
<th>Data Range</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>TotalDeviceUsed</td>
<td>DWORD</td>
<td>1 - 8</td>
<td>Defines how many devices are applied. Up to 8 devices are supported.</td>
</tr>
<tr>
<td>FixCOMDeviceX</td>
<td>DWORD</td>
<td>Refer to PID in Table 1</td>
<td>Defines the PID of the device to be used. The X should be a suffix starting from 1 up to the number defined in TotalDeviceUsed. For this feature, FixComDeviceX should not be duplicated (see footnote 5).</td>
</tr>
<tr>
<td>FixCOMNumX</td>
<td>DWORD</td>
<td>1 - 255</td>
<td>Defines the starting port number to be assigned to the FixCOMDeviceX device with the same X value. The X should be a suffix starting from 1 up to the number defined in TotalDeviceUsed. Please note the maximum number also has to be set in the data range. It is strongly recommended that this value is set to the same for all devices to avoid possible port number collisions. See footnote 7.</td>
</tr>
</tbody>
</table>

5. This feature is not supported for the XR2280x Series.

6. See Application Note AN-217 for usbflag settings.

7. The port number calculation is based on the assumption that all USB UART devices are connected to the same hub (root hub or downstream hub).
Installing Driver for Customization Features

Overview

This section illustrates the basic mechanism of the driver version V2.6.0.0 and newer and how the registry comes into play when doing customization.

During driver initialization, the driver will lookup the customization registry and check every value. If the feature is enabled and the related values are all valid, the corresponding configuration settings will be set for the driver to perform as a driver with customized features. If none of the features are enabled, or if any related value of an enabled feature is missing or invalid, the customization initialization process will be bypassed or halted. In this case, all customization related configuration will be reset and the driver will perform as a normal release driver.

Figure 1 shows the initialization flow.
Installation Process

This section illustrates the suggested procedure when implementing customization.

In order for the customization to work, the registry settings used for customization has to be modified and applied before installing the driver. After applying the registry setting, a system reboot should be done to make sure the registry is fully applied. Then the driver, version V2.6.0.0 and newer, can be installed. If the customization registry setting is modified correctly, the corresponding customized feature should be able to work.

Figure 2 shows the driver installation process for customization.

Figure 2: Driver Installation Process for Customization
Appendix A: Windows Registry

Overview

The Windows Registry is a hierarchical database that stores low-level settings for the Microsoft Windows Operating System and for applications using the registry. In simple terms, Windows Registry contains information, settings, options, and other values for programs and hardware installed on all versions of Microsoft Windows Operating Systems. For example, when a program is installed, a new subkey containing settings such as a program's location, its version, and how to start the program, are all added to the Windows Registry.

This section describes how to import, export, modify or remove the registry for customization features. Please be sure you follow each and every step.

8. Before importing, exporting, editing or changing anything in the Microsoft Windows Registry, it is recommended to first backup the registry on your local drive and are familiar with all the Windows registry basics.
How to Open / Import Windows Registry

Getting Started to Import Registry

This sub-section lists the preparation before importing the latest windows driver registry. There are two ways to import the Windows registry. After the imported process is done, it is recommended to reboot the computer to let the registry key take effect for the driver customization.

Solution 1:

Double click the registry file in the Windows 7 platform. Windows 7 will import the contents into the Windows Registry key. Figure 3 shows the registry file name.

![Figure 3: Default Customized Registry File](image)

Solution 2:

1. Click the windows "Start button.
2. In the Start Menu, either in the Run Box or the Search box, type "regedit" and press Enter.
3. The "Registry Editor" window appears. Select "File" and then "Import" to open the DefaultCustomSample.reg file.

![Figure 4: Windows Registry Editor](image)
How to Modify Windows Registry

This section describes how to modify a registry value from Windows. Either double-click or right click on the value name to edit and choose "Modify". A pop-up window appears where the data value can be changed.

Figure 5 illustrates an example of the customized driver key, type and data value. When modifying registry values, it is strongly recommended that the following rules be followed.

- DO NOT modify any registry key names, value names or value types. For example, the value name `FixCOMPortByDevID` corresponds to value type `REG_DWORD`.
- Only modify the data content. For example, the data value of `FixCOMPortByDevID` is 0x00000001. Note that the `REG_DWORD` is a hexadecimal value.
- For the valid data range of each customized registry value, see "Customized Registry"

![Registry Editor](image)

**Figure 5:** Example of Modifying the Customized Registry
How to Export Windows Registry

This section describes how to export a Windows Registry. If customized registry contents need to be shared, Figure 6 and Figure 7 illustrate how to export and save as a .reg file.

9. The registry exported will only include the subkeys and values starting from the key selected, not the whole registry. If desired, to export the whole customization setting, export from "HKEY_LOCAL_MACHINE\SYSTEM\Software\MaxLinear". (Other related registries might be located elsewhere for specific features).
How to Remove Registry from Windows

This section describes how to remove a registry key from Windows. Here is an example to remove a customized driver’s registry key. **Figure 8** illustrates removing a customized key from Windows “HKEY_LOCAL_MACHINE\SYSTEM\Software\MaxLinear”. Right-click on the “MaxLinear” key and select “Delete” to remove the specific key and all its subkeys.

![Registry Editor](image)

**Figure 8:** Removing the Customized Registry Example
Appendix B: Registry Setting Sample

File Name: DefaultCustomSample.reg

Windows Registry Editor Version 5.00

[HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Control\UsbFlags]
"IgnoreHWSerNum04E2141100"=hex:00
"IgnoreHWSerNum04E214111"=hex:00
"IgnoreHWSerNum04E2142000"=hex:00
"IgnoreHWSerNum04E214202"=hex:00
"IgnoreHWSerNum04E214204"=hex:00
"IgnoreHWSerNum04E214206"=hex:00
"IgnoreHWSerNum04E214208"=hex:00
"IgnoreHWSerNum04E21420A"=hex:00
"IgnoreHWSerNum04E21420C"=hex:00
"IgnoreHWSerNum04E21420E"=hex:00
"IgnoreHWSerNum04E214210"=hex:00
"IgnoreHWSerNum04E214212"=hex:00
"IgnoreHWSerNum04E214214"=hex:00
"IgnoreHWSerNum04E214216"=hex:00
"IgnoreHWSerNum04E214218"=hex:00

[HKEY_LOCAL_MACHINE\SYSTEM\Software\MaxLinear]

[HKEY_LOCAL_MACHINE\SYSTEM\Software\MaxLinear\USB2UART]

[HKEY_LOCAL_MACHINE\SYSTEM\Software\MaxLinear\USB2UART\CustomConfig]
"FixCOMPortByDevID"=dword:00000001
"FixCOMPortByLocation"=dword:00000000

[HKEY_LOCAL_MACHINE\SYSTEM\Software\MaxLinear\USB2UART\CustomConfig\FixCOMPortByDevID]
"TotalDeviceUsed"=dword:00000002
"FixCOMDevice1"=dword:00001424
"FixCOMNum1"=dword:00000050
"FixCOMDevice2"=dword:00001411
"FixCOMNum2"=dword:00000064

[HKEY_LOCAL_MACHINE\SYSTEM\Software\MaxLinear\USB2UART\CustomConfig\FixCOMPortByLocation]
"TotalDeviceUsed"=dword:00000004
"FixCOMDevice1"=dword:00001424
"FixCOMNum1"=dword:00000046
"FixCOMDevice2"=dword:00001411
"FixCOMNum2"=dword:00000046
"FixCOMDevice3"=dword:00001422
"FixCOMNum3"=dword:00000046
"FixCOMDevice4"=dword:00001414
"FixCOMNum4"=dword:00000046
Appendix C: FAQs

Q1: What will happen if the registry value type is mismatched with the ones listed in the Application Note?
A1: If the registry value type is set incorrectly, the registry value will not be read correctly. This results in a customization check failure and operation as a normal standard driver. In some rare cases, it might lead to a BSoD (Blue Screen of Death).

Q2: I have disabled the FixCOMPortByDevID feature flag, does this mean that the FixCOMPortByLocation feature will automatically be enabled?
A2: No. Each feature is only enabled when its own specific feature flag is enabled. Disabling a feature does not automatically enable its mutually exclusive features.

Q3: I have enabled the FixCOMPortByLocation feature and the function already seems to be working on XR21V Series devices. Why isn’t this function operating correctly on my XR21B device?
A3: For XR21B Series devices, corresponding usbflag registry values have to be set and take effect first before the FixCOMPortByLocation can function correctly. Please refer to Table 4 for details.

Q4: What will happen if usbflags for a XR21V Series device is set for FixCOMPortByLocation?
A4: The purpose of the usbflags registry value set is to have the USB driver stack ignore the Hardware Serial Number of the device. Since XR21V Series devices do not have a Serial Number, setting usbflags for XR21V Series will not have an impact.

Q5: I have already set the usbflags using the FixCOMPortByLocation feature. Why is the port number still assigned by Device ID for XR21B142x on my Windows 7 system?
A5: If the usbflags setting doesn’t work in a Windows 7 system, please check if the USB port connected is a USB 3 port. There is a known issue that the usbflags registry setting does not work on USB 3 ports with Windows 7, which is due to an XHCI host driver issue in Windows 7. If the port connected is not a USB 3 port, please check the registry settings.